



Scottish Government
Riaghaltas na h-Alba
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Citizens Basic Income Feasibility Studies Fund

Application for Funding

DEADLINE – THURSDAY 29 MARCH 2018

Applicant Organisation(s)	Fife Council North Ayrshire Council City of Edinburgh Council Glasgow City Council
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PURPOSE OF THE FUND

Citizens Basic Income is recognised as a radical form of social assistance which advocates of the concept suggest has the ability to tackle deeply ingrained social inequalities.

However despite significant international and domestic interest in the concept there is a lack of evidence as to whether it would work within a Scottish context, and especially when compared to the current tax, benefit and social contract. The aim of the fund is to strengthen the broader evidence base by supporting the development of suitable pilot models.

The Citizens Basic Income Fund will enable selected Local Authorities to develop feasibility studies. These feasibility studies/business cases will set out the nature of their proposed pilot, the likely costs of the pilot, the potential funding sources and the hypothesis or research questions that the pilot will seek to address.

To this end it is expected that each pilot or pilot partnership will produce 2 briefing papers. The first by end of September 2019 (but can be earlier) should set out the nature of the proposed pilot; the research questions to be evaluated; the likely costs and benefits of the pilot and proposed sources of funding. If the Local Authorities decide to continue with the pilots after this initial business case then a second briefing is expected by end of March 2020 to set out full details of the ethical, legislative, financial and practical implementation of the pilot on the ground.

WHO CAN APPLY?

Local Authorities who are eligible to apply for funding have already been notified, these include; City of Edinburgh, Fife, City of Glasgow, North Ayrshire.

HOW MUCH IS THE FUND WORTH?

The total fund value is £250,000, which will be available for successful applicants over the financial years 2018/19 and 2019/20.

An individual local authority can apply for a minimum of £20,000 up to a maximum of £80,000 over the grant period.

Our understanding is that the 4 pilots wish to pursue a joint application which we welcome. The total eligible fund for the 4 in partnership is £250,000.

If a combination of individual and joint applications are presented then each will be judged on its merit and funding allocated accordingly.

Applicants must ensure that spend of the amount of funding requested is clearly demonstrated. All grant funding must be spent by the end of the grant funding period, i.e. by April 2020.

WHAT IS ELIGIBLE UNDER THE FUND?

Eligible Activities

The main activities eligible for funding are listed below. The vast majority of the funding bid should relate to research and analysis activities taken forward either through in-house resources or through external procurement (the first five items below). Spend on other items should be proportionate.

- Council staff time spent researching and scoping pilot proposals, and related overheads.
- Procurement of consultants to undertake modelling or feasibility to inform pilot proposals
- Resource costs in terms of publication of any results / findings.
- Council staff time spent researching and analysing the views of the public specifically around pilots
- Procurement of consultants researching and analysing the views of the public specifically around the pilots
- Proportionate resource costs in terms of venues and catering for the above purposes.
- Council staff time spent securing / researching sources of funding to undertake pilots.
- Facilitation of the Local Authority pilot network; inclusive of travel, meeting venues, social media/communications related specifically to the pilots and associated costs.

Ineligible Activities

Costs which we will **not** fund include:

- General promotion of the concept of citizens' basic income.
- Costs or expenditure incurred before you accept our grant offer.
- Any costs which someone else is paying for, whether in cash or in kind.
- Any equipment which is not essential to the project.
- Wider organisational core costs.
- Costs of political activity or campaigning work.

REPORTING AND INFORMATION SHARING

As the fund is aimed at establishing an evidence base for Scotland it is anticipated that local authorities successful in receiving funding will share their findings with other funded authorities. This will aid authorities in building an engaging evidence base and will reduce unnecessary duplication. It is also anticipated that wherever possible findings are shared with other local authorities and wider public sector agencies.

APPLICATION PROCESS

We will use the information gathered through the answers to these questions to assess and score the eligibility, deliverability, and overall quality of your application.

We may share your application with Scottish Government colleagues if we feel they may be appropriate.

If your application is successful, you will receive two copies of our offer of grant for the project detailing the conditions of funding. On acceptance, you will be required to return one signed full copy of the grant offer (including all schedules) to us, together with details of your organisation's authorised signatories. We must receive this information before the CBI grant can be paid. Further details will be provided if the project is approved.

Once successful applicants start their projects they will be required to make claims for their grant. Joint applicants will need to be clear within this application form whether the claim and payments will be made with individual partners or with a centrally agreed recipient. The frequency can be agreed with the applicant when the grant is approved but our initial view is to submit claims in April 2018, January 2019 and September 2019.

For audit purposes we will require brief written progress reports in March 2019 and March 2020 that clearly set out progress to date and account for grant spend.

Submitting your application

Applications and supporting evidence must be submitted by 30 March 2018 to Liz Hawkins – liz.hawkins@gov.scot. You will receive acknowledgment of receipt of your application.

Your application will be checked to ensure it meets the eligibility criteria. All applications will be considered by a panel of Scottish Government officials. All panel decisions are final.

Section 1: Applicant Details

Lead Applicant Details	
Name of Organisation:	Fife Council
Contact Details for Correspondence	
Main Contact:	
Job Title/Designation:	
Full Address, including postcode:	
Telephone:	
Email:	
Website:	http://basicincome.scot/

If a joint bid also include details for partners.

Partner Applicant Details	
Name of Organisation:	North Ayrshire Council
Contact Details for Correspondence	
Main Contact:	
Job Title/Designation:	
Full Address, including postcode:	
Telephone:	
Email:	
Website:	

Partner Applicant Details	
Name of Organisation:	City of Edinburgh Council
Contact Details for Correspondence	
Main Contact:	
Job Title/Designation:	
Full Address, including postcode:	
Telephone:	
Email:	
Website:	

Partner Applicant Details	
Name of Organisation:	Glasgow City Council
Contact Details for Correspondence	
Main Contact:	
Job Title/Designation:	
Full Address, including postcode:	
Telephone:	
Email:	
Website:	

Section 2: Background to application

1. Why is your local authority / Why are your local authorities interested in undertaking a feasibility study into a Citizens basic Income?

Across the four local authorities there is a common interest in reducing poverty and tackling inequality, and the role that a basic income might play in this. We are interested in exploring the feasibility of undertaking local pilots of basic income in Scotland, and are keen to work with other Local Authorities, Scottish Government and partners to help develop plans for a pilot. We are also interested in comparing and contrasting models in different areas as well as sharing learning and evaluation.

In November 2015, the Fairer Fife Commission recommended that Fife should identify a town in Fife in which to test out a pilot of unconditional basic income. Fife's Programme for Administration, May 2017, reinforced Fife's commitment to work with partners to establish a pilot Universal Basic Income Scheme in Fife.

As part of the budget-setting process in March 2017, North Ayrshire Council agreed funding of £200,000 for a Basic Income Pilot which would look at the feasibility and potential benefits of implementing a basic income in North Ayrshire. High levels of inequality and poverty exist in North Ayrshire. Unemployment levels in North Ayrshire are high, there are significant numbers of people on low income and almost a third of children live in poverty. This Pilot is part of a wider package of support relating to the Community Planning Partnership's Fair for All Strategy which aims to reduce inequalities in North Ayrshire.

In January 2017 Jamie Cooke of the RSA gave a presentation on Universal Basic Income (UBI) to the Glasgow's Poverty Leadership Panel (PLP). Following this presentation, and the positive feedback it received, the council provided funding to carry out some community engagement with local groups and other interested stakeholders. We also held a sounding board meeting with other relevant stakeholders, such as Big Lottery, Trade Union, CoSLA, Child Poverty Action Group and Glasgow's Chamber of Commerce. Later in 2017, Glasgow joined up with Fife, North Ayrshire, and City of Edinburgh councils to create a joint working group.

Edinburgh is well recognised as an affluent and a growing city, but also as a city with wide levels of inequality and which is home to some of the most deprived communities in Scotland. Recent analysis of poverty in Edinburgh estimate that 16% of residents live on incomes below the poverty threshold – almost 80,000 individuals in total. Within Edinburgh, the Council Administration has set out a clear direction for action to reduce poverty, inequality, and their impacts on communities in Edinburgh. While the case for intervention is clear, there remain significant strategic challenges in improving the co-ordination and impact of measures to reduce poverty. These are not new challenges, and Council services and partners have been working hard in these areas over recent years. As part of this wider poverty and inequality reduction agenda, in August 2017 a motion to City of Edinburgh Council noted the plans of three Scottish local authorities – Fife, North Ayrshire and Glasgow – to develop pilot schemes for a Citizens' Basic Income in Scotland. The motion, approved by Council, agreed that the Council should join and work with these three authorities to develop a pilot scheme for Citizens' Basic Income in Scotland.

2. What work has your organisation/s already undertaken to scope the feasibility of a Citizens Basic Income? *(Please include details of any research or consultations previously conducted)*

Fife Council held an exploratory session on Basic Income - led by Prof Guy Standing - in Dunfermline in November 2016, with the support of Citizens' Basic Income Network Scotland (CBINS), Carnegie UK Trust, RSA, and others. This was followed by Fife Council and CBINS co-hosting a public meeting in Kelty, Fife, in January 2017. The aim of this event was to raise public awareness of the idea of a basic income and to explore some of the practical issues that would need to be considered if implementing a local pilot of basic income. Fife Council has also undertaken background research on the concept of a basic income and has produced a report setting out what would be involved in developing a local pilot of basic income. This includes the objectives of a basic income, what a basic income might mean for individuals, how it would fit with the current social security system, and the changing world of work. Public awareness and understanding of basic income was explored through a survey of Fife People's Panel (a representative panel of 1% of the adult population in Fife). 892 Panel members completed either a paper or online survey between 16 June and 14 July 2017, and the results of this are included in the report. The report also considers a range of issues that would need to be considered in designing a local pilot of basic income, including key features, design principles, practical and ethical issues, the level at which a basic income might be set, and an indication of the gross cost of undertaking a local pilot in Fife. The report was considered at Fife's Policy and Coordination Committee in September 2017.

In February 2017, Glasgow City Council's Executive Committee considered a report outlining the core elements of a UBI model and agreed a proposal for an initial feasibility study for Glasgow, supported by the Royal Society of Arts (RSA). Phase 1 was a scoping exercise with interested stakeholders and community groups. Two facilitated workshops were held in July 2017. Around 70 interested individuals, including those with lived experience of poverty and representatives of organisations attended the workshops, which were facilitated by the RSA. In addition, a Sounding Board was organised, to allow more in depth dialogue with a number of organisations about Basic Income. This included the Chamber of Commerce, STUC, CoSLA, Big Lottery Fund Scotland and the Child Poverty Action Group.

As part of the budget-setting process in March 2017, North Ayrshire Council agreed funding of £200,000 for a Basic Income Pilot which would look at the feasibility and potential benefits of implementing a basic income in North Ayrshire. It is anticipated that this feasibility work will be taken forward in partnership with the other Local Authorities. Building on the work undertaken in Fife, North Ayrshire Council will incorporate questions regarding public awareness and understanding of basic income in the North Ayrshire's People Panel Survey and focus groups in the next few months. These results will be shared with partners. In December 2017, a briefing session has also taken place with Elected Members including experts from RSA and Citizens' Basic Income Network Scotland. Another briefing session is planned for May 2018. The basic income pilot project has been presented to North Ayrshire CPP's Fair for All Advisory Panel in March, which consists of experts in poverty and inequality from across Scotland and initial feedback and suggestions have been obtained from the Panel.

A meeting in July 2017 explored how the four local authorities might work together to coordinate their plans for local pilots of basic income within a broader framework for Scotland. Citizens' Basic Income Network Scotland, the RSA, Scottish Government, and the Department of Work and Pensions (DWP) were also represented at the meeting. It was agreed to work collaboratively to explore the feasibility of basic income pilots in Scotland.

Two separate events were organised to learn from experience of piloting basic income with international experts Guy Standing and Jurgen de Wispelaere. The first of these was a workshop with Guy Standing to explore a range of factors that would need to be considered in designing a pilot. This was hosted by Fife Council on 6 October 2017, in Dunfermline. The second event was a seminar with Jurgen de Wispelaere to learn from international experience of planning and implementing local pilots of basic income. This was hosted by Glasgow City Council on 12 October 2017. The two events were attended by a mix of officers and elected members from across local authorities, together with local community activists, and other partners with an interest in work around basic income, including RSA, CBINS, and NHS Health Scotland.

In November 2017, an officer-led cross-council Steering Group was established to coordinate work across the four local authorities who had already begun to explore the feasibility of local pilots of basic income in their areas, and were subsequently invited to bid for this Scottish Government Funding. This group is being actively supported by NHS Health Scotland. It has been meeting monthly to develop plans for exploring the feasibility of local pilots of basic income.

Given the timing of developments, scoping the feasibility of a Citizens' Basic Income in the City of Edinburgh has been mainly undertaken through active participation in the Scottish Basic Income Pilot Steering Group.

3. What approvals have already been agreed within the Council(s), and to what stage would you be able to progress without further approval?

Basic Income is a shared manifesto commitment in the joint leadership / power sharing arrangement for Fife Council (Programme for Administration, May 2017). Approval to proceed with developing plans for a basic income pilot in Fife, and to work with other local authorities to do so, was given at Fife Council's Policy and Coordination Committee on 13 September 2017. Findings from the feasibility and design work will inform decisions about progression to implementation.

In August 2017, North Ayrshire's Cabinet asked officers to develop a feasibility study for a Basic Income pilot. The intention is to do this alongside the other three authority areas, supported by the Scottish Government and others. Future funding and progression to an implementation phase would be considered as part of the design stage and will be the subject of a future report to Cabinet.

Also in August 2017, City of Edinburgh Council agreed that the Council should join and work with the three other local authorities to develop a pilot scheme for Citizens' Basic Income in Scotland. Subsequently, in February 2018, the Council's Corporate Policy and Strategy Committee agreed that the Council should continue supporting this feasibility research. This agreement is subject to further reports to Corporate Policy and Strategy Committee as the scope of pilots develops and any associated costs are clarified.

A cross-party working group has been established in Glasgow to explore the feasibility of undertaking a pilot of basic income in the city. The Glasgow Councillor Group has agreed for Glasgow to participate in the feasibility exercise. At this time there is no funding available however staff resources will be available.

As part of governance arrangements regarding exploring the feasibility of local pilots of basic income in Scotland, a cross-council Councillor Group has been established, with elected member representation from each local authority. This will provide oversight and direction to the work of the steering group, including where local approval may be needed before going any further forward. This group met for the first time on 23 March 2018.

4. What existing partnerships are in place which would help to deliver your project plan? *(Please name partners and their proposed role in your project)*

The Scottish Government are supporting local authorities to explore the feasibility of a basic income, including undertaking modelling of preferred designed options.

NHS Health Scotland are an active partner in this project, supporting the four local authorities to explore the feasibility of local pilots of basic income in Scotland. They will provide secretariat, analytical and evaluation support.

The Improvement Service have offered a range of support to assist the four local authorities to work collaboratively to explore the feasibility of basic income in a Scottish context.

While recognising the innovative, bold work of the 4 local authorities, CoSLA have an agreed a position of keeping a watching brief rather than formal / full participation, but to provide appropriate support where they can e.g. helping to connect to national agencies through CoSLA channels.

As we go forward, we will build on existing partnerships with others with an interest in basic income across Scotland and the UK, through a wider Stakeholder group, including the following organisations (the role of the stakeholder group is articulated in Section 8):

- Citizens' Basic Income Network Scotland (CBINS) was established in December 2016 and has an important role to play in awareness raising, citizen engagement, and advocacy in relation to a basic income. Members of CBINS have led and facilitated discussions at local engagement meetings, and are actively supporting the work of the four local authorities. CBINS plan to offer an educational programme that we hope will complement the local work on basic income in Scotland. This includes a series of briefings on Citizens' Basic Income.
- A partnership from Heriot-Watt and Strathclyde Universities has been successful in securing funding from Scottish Universities Insight Institute (SUII) for a knowledge exchange project on 'Exploring benefits and challenges of implementing citizens' basic income in Scotland'. This project will include a programme of events which will offer an intelligent and well-informed source of analyses on citizens' basic income which will add to current understanding, recognising that there are arguments on all sides, and providing opportunities for these views to be aired and examined by academic experts and inclusively through the involvement of different levels of government, of practitioners and of representatives from some of the groups at greatest risk of and suffering from poverty. Briefing papers and thematic reports from this work will be made public and this will strengthen the foundations and potential of the project. We will work closely with this project to ensure that their work informs the local authority work programme.
- The RSA has been looking at the idea of a basic income as a possible replacement for the current tax and benefits system, and undertaking a range of research to support our understanding of how a basic income might be implemented in a developed economy. The RSA have actively been advocating for, and supporting discussions on, basic income in Scotland and the UK.
- We are developing links with basic income pilots in other parts of Europe, particularly Finland and the Netherlands. Initial discussion has taken place with the Carnegie Trust regarding support for an international learning study visit.

5. What resources have previously been committed to exploration of a Citizens Basic Income? (*i.e. staff resources or previous studies commissioned*)

All local authorities have used a mix of existing staff time and external support to undertake preliminary work to explore different aspects of the feasibility of a basic income, and will continue to draw on existing staff resources across the four councils to support the programme of work around exploring the feasibility of local pilots of basic income in Scotland, particularly in relation to community engagement at a local level.

During 2017, Fife Council Research undertook background work on the idea of a basic income, and what would need to be considered in designing a local pilot. £34,000 from the Fairer Fife Fund was awarded for 2017/18 for costs associated with staffing and research and development in preparing the business case for UBI and contributing to the Scottish approach to developing UBI pilots. This has enabled a dedicated member of staff to be employed in the short term. This bid also included provision for community engagement, promotion of basic income, and study visits to enable us to learn from pilots / experiments in other areas, such as Finland and the Netherlands.

As part of the budget-setting process in March 2017, North Ayrshire Council agreed funding of £200,000 for a Basic Income Pilot which would look at the feasibility and potential benefits of implementing a basic income in North Ayrshire. It is anticipated that this feasibility work will be taken forward in partnership with the other Local Authorities. Building on the work undertaken in Fife, North Ayrshire Council will incorporate questions regarding public awareness and understanding of basic income in the North Ayrshire's People Panel Survey and focus groups in the next few months. A briefing session has also taken place with Elected Members including several experts from RSA and Citizens' Basic Income Network Scotland. The North Ayrshire Policy Manager for Economic Growth has been leading this project in North Ayrshire since July 2017 and has contributed a significant amount of time working as part of the joint Steering Group.

Glasgow has commissioned the RSA to undertake background work including a number of community engagement events. Glasgow hosted a joint learning event in October 2017, where Jurgen De Wispelaere gave a presentation to a group of interested stakeholders that included councillors and other local authority officers. In terms of staff resources for the community engagement and the organising of the above event, an intern was seconded to help to support this work.

City of Edinburgh has used existing staff time to undertake preliminary work to prepare for feasibility research, including: review of activities by other local authorities; review of literature and research. Participation in the officer-led cross-council steering group is being taken forward within existing resources by the council's Strategy and Insight Division. Going forward, officers will work with colleagues across the council to support the feasibility research phase.

Councillors from across all four local authorities have been actively involved in local discussions about the feasibility of local pilots of basic income. Representatives from each authority will participate in the cross-council Councillor group which met for the first time on 23 March 2018.

Section 3: Your Proposal

6. Summarise what you plan to do with the funding

Funding will be used to enable the four local authorities to collaboratively explore a number of aspects of the feasibility of undertaking a local pilot of basic income in a Scottish context:

- **Political Feasibility** - is there broad support for proceeding with and implementing a pilot of basic income?
- **Financial Feasibility** - would it be possible to finance a basic income? Would implementation impose financial losses on households / individuals?
- **Psychological Feasibility** – is the idea of a basic income readily understood and seen to be beneficial by the community?
- **Behavioural Feasibility** - will a Basic Income work for households and individuals once it's implemented?
- **Institutional Feasibility** – is there institutional support for a pilot from a range of organisations? Including the practicalities of how a pilot will be funded / administered, and illustrating / understanding the benefits of a basic income.

To explore this we plan to:

- Develop a common framework for basic income pilots in Scotland, using an evidence-informed logic model of basic income which sets out the theory of change and what outcomes might be expected from the introduction of a basic income.
- Develop specific research questions which might be tested through a local pilot, or explored through engagement sessions, and use these to inform and develop design options for local pilots and test hypotheses about the impact of a basic income. It is likely that different local authority areas may look to explore different aspects of a basic income in their local work
- Explore behavioural effects and macroeconomic impact of a basic income
- Learn from international pilots
- Engage with key stakeholders, including elected members, national agencies including DWP and HMRC
- Explore different design options and levels of financing. Explore and identify sources of funding, and practical issues of implementation, such as eligibility, and payment mechanisms
- Develop an evaluation framework, and an evaluability assessment
- Deliver community engagement / partner / other stakeholder workshops

From this we intend to:

- Develop policy and delivery options for piloting basic income in Scotland that can be implemented given current political and economic constraints
- Agree model(s) for the pilot across local authorities to recommend to the Scottish Government
- Develop an evaluation plan for the pilot that will answer the priority research and policy questions around the feasibility and impact of the policy and to plan any baseline measurement, research governance and ethical approval

7. How will you deliver the proposal above?

The core work is being led by a Steering Group of officers from across the four local authorities, supported by NHS Health Scotland. The Scottish Government and the Improvement Service are also represented on this group. This multi-disciplinary team draws on a range of expertise across the partners from within existing staff resources. A project plan is being developed and a project manager will be appointed to coordinate this work across the partners.

We will learn from work that is already in train, and use this to inform our work. This includes learning from new research, including:

- A systematic scoping review of a basic income (NHS Health Scotland / SPSHU, University of Glasgow)
- The future of social protection in Scotland (GCPH)
- Typology of basic income experiments (RSA)

We will work with others with an interest in exploring the feasibility of a basic income in Scotland, particularly CBINS, and the SUII knowledge exchange project.

We will develop a common framework for basic income pilots in Scotland which will help to inform and shape the design of pilots in different local authority areas. This will be guided by our research questions.

We will learn from experience of implementing pilots of basic income in other developed contexts, through discussions with international experts and via study visits to developing pilots in other parts of Europe.

We will commission new research, where required, to develop our understanding of basic income in a Scottish context. It is possible that each of the pilot areas will develop different models of a basic income pilot linked to their area, potentially focusing on:

- **a specific group** (ie. families, care leavers, lone parents, health-related)
- **a specific geography** (ie. town, locality, neighbourhood)

We will undertake community engagement to test out and explore our findings.

It will be important for any pilot to have the necessary support to influence the future of national policy and the role of Department of Work and Pensions (DWP), HM Revenue and Customs (HMRC), HM Treasury and the NHS will be vital in the design and implementation of a Basic Income pilot.

We will work with partners to raise sufficient awareness and momentum for the pilot to inform a decision as to whether the pilots should go ahead but without prejudicing the evaluation findings.

Through the business case (September 2019), we intend to put forward the best policy option given the aims, priorities and constraints.

8. What groups do you intend to engage with over the course of the project?

A Councillor Group – with representation from each of the four local authorities – will provide oversight of the work. We will keep CoSLA informed of progress.

A Stakeholder Group – with representation from across organisations with an interest in exploring basic income pilots in Scotland – will provide a sounding board for the work. Membership of this group will be kept under review as the work progresses.

There will be a need to engage with different institutions and departments of the UK Government, Scottish Government, and Local Government to explore how a basic income might be piloted and funded in practice. We will develop a plan for engaging DWP and HMRC.

In each of the four local authority areas it will be necessary to undertake a programme of engagement with local communities, on the basis of geographic communities, and / or by community of interest. This will include engaging with staff working in local communities across community planning partner organisations, including the voluntary and business sectors.

In each of the four areas the local authorities will work with appropriate Community Planning Partners. For example, North Ayrshire will engage with CPP Fair for All Advisory Panel which consists of experts in poverty and inequality from across Scotland and will be used as a further sounding board as and when required.

We will continue to engage with the Poverty and Inequality Commission, and will look to share initial progress with the feasibility work through a presentation to the Commission towards the end of 2018.

We will engage with others involved in planning and implementing Basic Income in different contexts. The Basic Income Earth Network (BIEN) Congress in Tampere, Finland in August, 2018, will provide an opportunity for us to share information related to feasibility work in Scotland, and to learn from others about intended outcomes of pilot work, and the practicalities of implementation, resourcing, and evaluation.

We will engage with other groups as required as the project progresses, including hearing from those with critical perspectives on a basic income.

9. What modelling / research do you intend to undertake or commission?

The exact allocation over time between what work will be undertaken by the Steering Group (with the resources available to it) and what modelling or research will be commissioned will be determined as the project work planning progresses.

An indicative split is given below:

Undertake through Steering Group:

- Produce summary findings from evidence work
- Develop a logic model for basic income in Scotland, informed by findings
- Produce a paper on best practice / lessons from international pilots
- Produce a paper on behavioural insights approach to a basic income
- Explore and identify sources of finance to implement a basic income scheme
- Assess what pre-piloting work could be undertaken
- Draw on a range of evidence to identify preferred design options

Commission, following normal public procurement rules:

- Explore issues relating to the behavioural complexity of a basic income
- Conceptualise and commission a behavioural model of basic income to explore the effects of a basic income on individuals, households and communities
- Commission a model of macro-economic effects informed by the behavioural modelling
- Following the development of preferred design options, commission modelling of those design options to compare costs, explore impact on tax and benefits, winners and losers, and explore redistributive effects *Note: It is expected that this modelling work will be undertaken by Scottish Government as part of its offer of support to local authorities to test the feasibility of local pilots of basic income, additional to the funding available via the Citizens Basic Income Feasibility Fund.*
- Undertake qualitative research to inform design and implementation options

10. What staff will you require to recruit in order to undertake the project? When will these be recruited?
- a. If staff are already in post please note those who will be employed specifically on this project and for whom you are bidding for funding.

The project will require a full-time coordinator post to coordinate the programme of work required to explore the feasibility of a basic income in Scotland, and to support work across the four local authority areas. A role profile / job description is being developed, and will be recruited to as soon as possible.

11. When will your project start and finish, and what are the key milestones?

The feasibility work will start in April 2018. Key milestones are as follows:

Phase 1 (months 1 – 6): March 2018 – August 2018

- Clarify the intended outcomes of a proposed Scottish Basic Income pilot
- Generate options for Basic Income pilots to meet the intended outcomes
- Identify the intended and unintended consequences of the pilot options
- Develop clear research questions to be tested through the pilots
- Commence commissioning of key research and modelling work

Key decisions: Are local authority partners content with objectives? Can these be agreed collectively? Does this impact on the future of the pilots?

Phase 2 (months 7 – 12): September 2018 to March 2019

- Complete commissioned research and modelling work
- Agree preferred Basic Income pilot option/s to be proposed in the business plan
- Develop options paper regarding funding / payment mechanisms for pilots
- Pilot evaluation planning in place
- Progress report to Scottish Government (March 2019)

Key decisions: Is there a preferred model(s) that can be agree upon? Agree expected outcomes from pilot options? Can appropriate funding and delivery mechanisms be identified?

Phase 3 (months 13 – 18): April 2019 to September 2019

- Commission and deliver additional qualitative research requirements
- Initial business case for pilots to Scottish Government
- Detailed evaluation methods developed, with costings
- Agree funding and payment mechanisms
- Production of interim report to Scottish Government (by September 2019) setting out nature of proposed pilot, research questions to be evaluated, likely costs and benefits, and sources of funding

Key decisions: Will Scottish Government support the pilots moving to full business case and pre-implementation phase? Will each of the four local authorities support the next phase? What are the expected costs for each authority, in order to progress to pilot implementation?

Phase 4 (months 18 – 24): October 2019 to March 2020

- Baseline data collected
- Full data collection and analysis agreed
- Progress report / full business case for pilots to Scottish Government (March 2020)

Key decision: Are funding and delivery mechanisms in place?

Section 4: Financial Information

12. Please estimate project costs below (refer to page 3 for eligible activities).

Cost Breakdown 2018/19 <ul style="list-style-type: none"> • Please list the name of each individual project cost category clearly below • Include direct project cash costs only 	CBI Funding Requested 2018/19
Research / Commissioning (including Behavioural / macro-economic modelling)	
Staffing (Co-ordinator Post)	
Meetings	
Total	

Cost Breakdown 2019/20 <ul style="list-style-type: none"> • Please list the name of each individual project cost category clearly below • Include direct project cash costs only 	CBI Funding Requested 2019/20
Research / Commissioning (including qualitative research)	
Staffing (Co-ordinator Post)	
Meetings	
Total	

13. Do these costs include VAT?: ~~Yes~~/ No

If yes, is the VAT recoverable: (only non-recoverable VAT should be included as part of the relevant project costs) Yes / No

14. What other sources of funding, including your own organisation's resources, will you use? (*Include cash contributions only*)

Partner and Funding Stream (for example: Local Authority committed spend to support feasibility study)	Funding confirmed? (Yes /No*)	2018/19	2019/20
Cash contribution			
North Ayrshire			
Fife			
Support in kind cash equivalent			
Edinburgh			
Fife			
Glasgow			
North Ayrshire			
NHS Health Scotland			
Total amount of match funding (cash contribution)			
Total amount of match funding (support in kind cash equivalent)			
Total amount of match funding (cash and support in kind)			
Total amount you would like from the Citizens Basic Income Fund			
Total Project Cost			

* If the match funding has still to be confirmed please also indicate the timescale for a decision being made below.

Not applicable

15. If a joint application please indicate arrangements for reporting and claims to be made under the fund; i.e. claims submitted by a lead authority, or through a centralised body.

The Improvement Service will act as a centralised body, holding funds, commissioning research, and recruiting staff for the project, where required, on behalf of the four local authorities.

Section 5: Declaration

By signing this you are confirming that you are an authorised signatory for the Local Authority(s) applying for the Citizens Basic Income Fund. You are also confirming that the statement below is accurate.

- As far as we know and believe, all of the information in this application form is true, accurate and complete. We are authorised to allow this project to go ahead. We are happy for the Scottish Government to publish details of the financial support they are giving to this project. We will submit a short statement to the Scottish Government setting out details that we consent to them to use in news releases, publications and other publicity materials.

Authorised Signatory Details

Lead Applicant Details

Name of Organisation: Fife Council

Full Name:

Designation in Applicant Organisation:

Contact address:

Telephone:

Email:

Signed:

Date:

29 March 2018

If a joint bid also include authorised signatories for partners.

Partner Applicant Details

Full Name:

Designation in Applicant Organisation: North Ayrshire Council

Contact address:

Telephone:

Email:

Signed:

Date:

29 March 2018

Partner Applicant Details

Full Name:

Designation in Applicant Organisation: City of Edinburgh Council

Contact address:

Telephone:

Email:

Signed:

Date:

29 March 2018

Partner Applicant Details

Full Name:

Designation in Applicant Organisation: Glasgow City Council

Contact address:

Telephone:

Email:

Signed:

Date:

29 March 2018